

JPI Climate Topic 1: Societal Transformation

General info

Final submission date

Full call title European JPI Climate Joint Call for Transnational Collaborative Research Projects - Topic 1 : Societal Transformation in the Face of Climate Change

Background

The Joint Programming Initiative "Connecting Climate Knowledge for Europe (JPI Climate)" was established as part of the effort to deal with climate change as one of the Grand Challenges. JPI Climate seeks to facilitate integrated climate knowledge and decision support services for societal innovation towards a climate-friendly and climate-proof Europe. JPI Climate provides a platform for aligning national research priorities, coordinating the research base in Europe, and responding to the needs of the European society, through innovative inter- and trans-disciplinary approaches and flexible collaborative governance.

For further information see www.jpi-climate.eu

In this call, collaborative research projects will be selected using a 2-step procedure following the following timeline:

Pre-proposal deadline: 29 November 2013
Invitation to submit full proposals: Mid-February 2014
Full proposal deadline: 28 March 2014, 12:00 (noon) CET
Funding decision announced: Summer 2014
Start of projects: Fall 2014

The aim of the call

This JPI Climate Joint Call for Transnational Collaborative Research Projects will provide support for top-quality research projects on topics that are of high societal relevance in Europe and globally, recognising that such challenges require joint efforts through multinational approaches. JPI Climate is seeking proposals from consortia consisting of partners from the participating European countries as well as others. Consortia should preferably bring together different scientific disciplines to address the issues within the scope of the described call topics. The projects should display clear links to decision-makers and users of climate knowledge as well as potential change agents in society. Following the recommendations made by the European Commission to reinforce the European Research Area partnership for excellence and growth (COM (2012) 392 final), activities supported within JPI Climate need to be coherent with other on-going national and international initiatives, including European Union programmes. They should be genuinely collaborative and demonstrate that more will be achieved by working together than by individual partners working on their own.

Who can apply?

The call is open to international consortia of researchers and research groups from academic and other organisations that have a strong focus on research. For eligible participant types for each country, please consult the eligibility criteria in the enclosed National Annexes (Annex 3).

For the call topic Societal Transformation in the Face of Climate Change the consortia must include partners from at least three of the European countries participating in the call: Austria, Belgium, Denmark, Finland, France, Germany, Ireland, The Netherlands, Norway, Slovenia, Sweden, the UK. Involvement of partners from civil society, policymakers, public administration or industry for co-designing research questions and co-production of knowledge is encouraged.

Researchers from countries others than those participating in the funding of the call may participate in the research project at their own expense.

Thematic framework

The call aims to facilitate research activities in the European Research Area that inform and support societal transformations in the face of climate change and in line with sustainable development in Europe and globally. The social sciences and humanities are crucial to understanding these processes of change. In particular, proposals should address:

1. The normative and social justice dimensions of climate change;
2. The role of knowledge and risk perception in climate-related policies;
3. The societal capacity and governance to respond to climate change;
4. The role of economy and finance in societal transformation;
5. Integrative studies on societal transformation, visions and pathways under climate change. The call topic is further described in the enclosed full description (Annex 1).

Financial framework

The call is funded through a distributed pot provided by its funding partners. Within each selected consortium, funding of the participating researchers will be provided by their respective national funding organisations according to their normal terms and conditions for project funding. The amount of public funding available for transnational collaborative research projects through this JPI Climate call is approximately EUR 11.64 million of which approximately 8.58 million is allocated the call topic Societal Transformation in the Face of Climate Change. The table in Annex 4 shows which funding organisations are participating in the call and the estimated available funding per country.

What will funding be available for?

Under this call, funding will be granted to transnational collaborative research projects that consist of partners from at least three participating countries; preferably bring together different scientific disciplines in addressing the issues within the scope of the described call topic; and demonstrate clear links to users of climate knowledge. This collaborative research project funding scheme is intended to promote inter-institutional collaboration between researchers in different European countries. The project should be genuinely collaborative and demonstrate that more will be achieved by working together than by individual partners working on their own. Funding will be granted for transnational research collaboration as specified and described in the grant proposal. Applications must contain a description and budget of the activities for which funding is sought for each partner of the consortium. Further information is available in the call topic description (Annex 1) and the National Annexes (Annex 3).

In keeping with JPI Climate's sustainability principle, considerations relating to climate change challenges must be integrated into all the activities under JPI Climate; applicants are therefore requested to consider their own carbon footprint as well as use of energy and other resources when planning the project. In particular, proposals should clarify how the project incorporates considerations relating to, and seeks to minimise, its own climate footprint, and how it will contribute to a climate-friendly research system, e.g. in terms of (virtual) meetings, travel and energy use. Please consult the relevant check-list on the JPI Climate website for further advice:

<http://www.jpi-climate.eu/joint-actions/calls/2013callclimatefriendlyclimateresearch>

In light of the recommendations made by the European Commission to reinforce the European Research Area partnership for excellence and growth (COM (2012) 392 final), projects should strive to use open recruitment of positions within projects, to publish their scientific work in open access journals or the like, and to make joint use of available research infrastructures.

Eligibility criteria

- The application must be written in English, with all required elements completed and all mandatory attachments attached. The application must be completed using the designated application instructions, and must be in conformance with the national eligibility criteria.
- Each project proposal must combine significant contributions by eligible partners from at least three countries participating in the call. It is possible to have more than one participant from a country in each consortium. However, consortia should aim for balanced national contributions to the research project.
- Proposals to the call topic on Societal Transformation in the Face of Climate Change must include eligible partners from at least three of the following European countries: Austria, Belgium, Denmark, Finland, France, Germany, Ireland, The Netherlands, Norway, Slovenia, Sweden, the UK. Involvement of partners from civil society, politics, administration or industry for co-designing research questions and co-production of knowledge is encouraged. Each partner in the consortium must fulfil the respective national eligibility criteria for research grant application presented in the National Annexes (Annex 3).
- Each applying consortium should identify a Leading Principal Investigator for the proposal, who is officially responsible for all communications with the Topic Programme Office (The French National Research Agency - ANR), including submission of the proposal.
- Researchers from countries others than those participating in the funding of the call may participate in the research project at their own expense, and should document their contributions (direct funds or in kind) in the application budget.
- Funding will be provided for collaborative research activities for a time frame of 2-4 years, as described in the proposal, in the call topic description (Annex 1) and in line with national eligibility criteria as presented in the National Annexes (Annex 3). A budget showing the anticipated total costs of the project, including a specification of the requested funding from each JPI Climate participating financier must be submitted, using the template in the application portal.
- Proposals will have to include a Scientific Impact and Knowledge Dissemination Strategy, including financial provision for dissemination of research outputs, allowing for open access to research results and produced data (primary and meta data) among the scientific community as well as making use of other suitable means of dissemination of results.

Evaluation criteria

Full proposals will be reviewed under the following evaluation criteria on a scale from 0-5* :

1. Scientific Quality/Intellectual Merit (proposals have to score minimum 4 points)
 - Scientific quality and innovativeness of the objectives and approach of the research plan
 - Added scientific value to be expected from the international research collaboration
2. Envisaged Societal Relevance and Impact (proposals have to score minimum 3 points)
 - Relevance of the goals and objectives of the research plan relative to the call theme
 - Contribution to overall JPI Climate Vision (See Vision chapter in the [JPI CLIMATE Strategic Research Agenda](#))
 - Knowledge co-production with stakeholders / involvement of relevant stakeholders / awareness of stakeholders ("stakeholders" refers to actors outside the scientific community e.g. change agents and knowledge partners such as policymakers, regulators, NGOs, municipalities / local authorities or business and industry)
 - Envisaged societal impacts (e.g., capacity and community building, networking effects, contributions to societal welfare and well-being, policy-related or economic impact)
3. Quality of the Consortium (proposals have to score minimum 3 points)
 - Competence and expertise of team and complementarities of consortium (inter-disciplinary / inclusion of all necessary expertise /expertise in managing inter- and trans-disciplinary research collaborations, gender balance)
 - Balanced cooperation
 - Level of shared responsibility and commitment in the incorporation of relevant scientific disciplines in terms of an active interdisciplinary project co-design, as appropriate
4. Resources and Management (proposals have to score minimum 4 points)
 - Appropriateness and justification of work plan, resources and funding requested
 - Considerations relating to the JPI Climate sustainability principle: Consideration of projects? climate footprint and contributions to a climate-friendly research system, e.g. in terms of (virtual) meetings, travels and energy use.

* The scores are read as: 0= Not possible to evaluate / Fail; 1=Poor; 2=Fair; 3=Good; 4=Very Good; 5=Excellent

Selection procedure

The call is being announced in two stages, and final funding decisions will be taken in the summer of 2014.

In the presently open second stage, Full proposals are to be submitted to JPI Climate electronically through the NordForsk Application Portal (<https://funding.nordforsk.org/nordforsk>) no later than 28 March 2014 by 12:00 (noon) CET. Proposals must be submitted in English. No attachments are allowed apart from those specifically requested in the application form. The application form is based on the eligibility criteria and evaluation criteria stated above, and can be found in its complete version via the NordForsk Application Portal. In order to access the application form you must register as a user at the Application Portal and create an application draft.

It is anticipated that full proposals will evolve somewhat from the previously submitted pre-proposal (including personnel), but major aspects should remain broadly the same. If you are making significant changes, or if you are unsure, then details of the change should be referred to the Topic Programme Office (The French National Research Agency - ANR) for approval.

The call is governed by two Programme Coordinators' Committees (PCC, one for each call topic), formed by the partner organisations, funding the call. All applications will be evaluated by an external Panel of Experts (PoE, one for each call topic) that encompasses the scientific expertise necessary for assessing the call topic as well as expertise in the field of policy and decision-making.

Full proposals will be evaluated by at least two External Reviewers as well as the Panel of Experts, following the above-mentioned evaluation criteria for full proposals. Based on the second stage peer review, as well as availability of funds, the Programme Coordinators' Committee will take the final decision on which projects to recommend for funding in June 2014.

Within each selected consortium, funding of the participating researchers will be provided by their respective national funding organisations, which will sign contracts with the respective consortium partners according to their normal terms and conditions for project funding.

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Project monitoring

Should your application be successful, a consortium agreement (including provisions relating to Intellectual Property Rights) is to be drawn up between the participating researchers and shared with the relevant funding organisations.

Using their own budgets, the LPI and PIs should participate in convening joint meetings, at project mid-term and completion, assembling representatives of all projects related to a given JPI Climate call topic. Such meetings provide an opportunity to exchange views, and share questions, progress and output vis-à-vis the scientific and user community, and should be organised in conjunction with major international scientific events. Associated projects reports should be submitted by the LPI to the JPI Central Secretariat upon mid-term and completion. Each PI must also fulfil national reporting requirement(s) of his/her national funding organisation.

Contact

National Contact Points and Topic Programme Offices are listed in Annex 2.

Technical support NordForsk: +47 905 51 520 / support@nordforsk.org

JPI CLIMATE

First joint call 2013, call topic 1:

Societal Transformation in the Face of Climate Change

Conditions, barriers and visions for societal action

Societal relevance and objectives of the call

Climate change generates new challenges for European and global societies. Responding to these challenges involves complex processes of societal change and transformation towards a carbon-neutral, climate-proof and adaptive Europe, encompassing social, cultural, technological, political, economic, legal and value-based changes. The social sciences and humanities (SSH) are crucial to understanding these processes of change, in particular by framing the challenges of climate change and response strategies from a societal viewpoint. It is paramount to understand the goals, motivations and potential conflicts of interest as well as the processes involved to meet the challenges.

Addressing the social and human dimensions of climate change requires interdisciplinary research with contributions from a wide range of disciplines including, *sociology, anthropology, psychology, political science, human geography, planning, economics, philosophy, history, law, cultural and literature studies*.

Based on the mission of JPI CLIMATE, this call for research proposals aims to facilitate research activities in the European Research Area (ERA) that understand, inform and support societal transformations in the face of climate change and in the broader context of sustainable development in Europe and globally. The aim is to build capacities in the emerging field of SSH in climate change research, thereby contributing to international, European and national research and policy leadership for tackling the societal challenge of climate change.

This JPI CLIMATE call is based on the following strategic objectives:

Enhancing international collaboration. Many of the promoters and inhibitors of societal transformation are negotiated and framed in a diverse European context. The aim of this call is to increase transnational collaboration and overcome national fragmentation within the social sciences and humanities. Its aim is to enhance capacity of SSH researchers across Europe to assume an action-oriented perspective and make contributions of a high practical value for decision-making in civil societies, businesses, industries and governments in response to climate change.

Fostering climate change research in SSH. This call is a first step of a process to position the social sciences and humanities as an indispensable part of an integrated climate research landscape in the ERA. It aims to connect various national SSH communities in order to strengthen a European knowledge infrastructure that is capable of contributing to a fully integrated understanding of conditions, barriers and opportunities for societal transformation in the face of climate change.

Strengthening the action perspective of SSH research. This call invites proposals that are rooted in a clear understanding of the practical concerns of societal actors and that contribute to addressing those concerns and offering new ideas and management solutions for effective transformation of their practices. This needs to

be demonstrated by active involvement of partners from civil society, private and public sectors as partners for co-design of research questions and co-production of knowledge.

Facilitating collaborative research projects. The research consortium should consist of a minimum of three partners from three different countries. The individual projects do not need to cover the full range of topics included in this call and should instead demonstrate a clearly focused perspective within the scope of the call. They should connect to the existing research interests and activities of the project partners and display the potential to contribute to the strategic objectives outlined above. While the call particularly addresses researchers and research groups in the social sciences and humanities, interdisciplinary and collaboration with other disciplines are welcome where appropriate.

Connecting research to real world concerns. This call invites proposals that engage with real world challenges and acknowledge the interconnected nature of these challenges across multiple areas such as: water management, urban development, extreme events, development pathways, energy transition, transport, agriculture, food sovereignty and security, health, quality of life and wellbeing, and social welfare. Lessons learned from past societal transformations and comparative approaches across Europe can also be considered.

In order to address the societal concerns and challenges from an SSH perspective and respond to the international research demands, this call invites research proposals within and across the following themes.

Theme 1. The normative and social justice dimensions of climate change

Theme 2. The role of knowledge and risk perception in climate related policies

Theme 3. The societal capacity and governance to respond to climate change

Theme 4. The role of economy and finance in societal transformation

Theme 5. Integrative studies on societal transformation, visions and pathways under climate change

Thematic areas of the call**Theme 1. The normative and social justice dimensions of climate change**

Research in this thematic area addresses issues of justice, equity, democracy, participation, legitimacy, welfare, quality of life as well as intergenerational climate policies and response measures. Special emphasis should be given to the questions of which groups in society are particularly vulnerable to the impacts of climate change, how the positive and negative impacts are distributed and how these groups are participating in and addressed by policy-making. Who is included and who is excluded in the design and benefits of climate mitigation and adaptation strategies? Research in this area can relate to topics such as access to water, food sovereignty and security, energy transitions and environmental quality (and their interrelationship) for different groups in society and how benefits and burdens are shared across different strata in society.

By addressing the normative and social justice dimensions of climate change research, this thematic area also contributes to understanding the role and the global responsibilities of Europe in a world of global change.

Theme 2. The role of knowledge and risk perception in climate related policies

Knowledge production and exchange between climate research arenas (such as the IPCC), media agents, NGOs, branch organisations, educational institutions and societal decision-makers is central for guiding and facilitating societal innovation and transformation processes. Research in this thematic area explores the extent to which the increased level and quality of climate science has an impact (or not) on policy, as well as the types of factors that contribute to this interface and their implications for organising climate science and science-policy interactions in the future.

Research in this thematic area is also invited to explore how the risk of climate change is perceived and coped with by different groups and in the interaction between these groups; how knowledge is produced, negotiated, interpreted and disseminated; and how these processes empower and/or impede societal decision-making that is aimed at addressing the challenges of climate change.

By understanding the role of knowledge and risk perception for robust decision-making, and the types of knowledge that are taken into account and why, research in this thematic area also contributes to conceptualising and identifying effective and user-oriented climate services and analyses the potential contributions of climate services to societal transformations.

Theme 3. The societal capacity and governance to respond to climate change

The prevailing mismatch between public awareness of anthropogenic triggers of climate change and the perpetuation and spread of carbon intensive modes of production and consumption illustrates the huge challenges Europe is facing in achieving its goal of sustainable development with a high quality of life. Research in this thematic area explores drivers and inhibitors of climate change mitigation and adaptation and the necessary initiatives from societal actors and governance systems at all levels that could lead to desired societal transformations. Moreover, there is a need to analyse and understand (inter)national, regional, local, and individual response capacities (e.g. in terms of resources, commitments, risk management, institutions, responsibilities and instruments, and behavioural change) in the face of climate change.

The transformation into low carbon, sustainable and resilient societies is unlikely to occur without regulatory frameworks and policy instruments that induce citizens, governments, businesses and NGOs to reconsider and alter their practices. Research in this thematic area analyses what constitutes the governance of societal

transformations in light of climate change, and what changes in modes of governance would be essential for transformative processes. Furthermore it is relevant to investigate what challenges climate change poses to European democracies and how democratic institutions can be used to lead Europe towards a low carbon, resilient and equitable society.

Theme 4. The role of economy and finance in societal transformation

Research in this thematic area explores how the current systems and various conceptions of the economy and finance can drive, constrain or block societal transformations towards a sustainable, carbon-neutral and climate-proof Europe. Identifying and analysing the effects of potentially incompatible societal (e.g. political, economic, cultural values) objectives and performance indicators (e.g., climate change impacts and GDP) can promote a better understanding of current challenges for policy-making in the face of climate change and help to reconcile incomplete economic and political performance indicators. This also involves better understanding the critical roles that business models and industry structures can play in shaping and facilitating potential societal transformations through their business practices and their influence upon consumer behaviour (e.g. by enabling connections between emergent energy "demand" and "supply").

Research in this thematic area also contributes to the understanding of the role that economy and finance play in climate change mitigation and adaptation, assesses the current state of international climate financing, explores what new forms of climate finance and economic incentives for low carbon economies are necessary and feasible, and conceptualises policy instruments that can make use of these incentives for sustainable societal responses to climate change.

Theme 5. Integrative studies on societal transformation, visions and pathways under climate change

Research in this thematic area contributes to the understanding of systemic and sustainable societal responses to climate change and creates synergies with all other themes in this call. The multiple drivers of climate change are connected to current global megatrends, socio-ecological challenges (e.g., demographics, urbanisation, resource depletion and biodiversity loss), major controversies (e.g., geopolitics, [de-]growth, equity, values, social mobilisation) and other co-drivers of societal transformation. How is society's ability to respond to climate change enabled or constrained by other social, ecological and political dynamics and what strategies are there to successfully deal with these interconnected dynamics?

In this thematic area, positive perspectives on sustainable, climate-friendly and climate-proof European societies are connected to plausible socio-economic scenarios for societal transformations in response to climate change. Scenarios and transformation processes are to be critically assessed based on integrated socio-ecological evaluation criteria from a European and a global perspective (e.g. social justice, welfare, quality of life) and in terms of what these scenarios entail, for whom and with what consequences.

Research in this thematic area integrates lessons learnt from past and ongoing societal transformations on different scales and in different sectors to develop insights about what makes changes happen and how different groups of change-agents create and raise awareness for grand societal challenges such as climate change.

ANNEX 2 JPI CLIMATE JOINT CALL 2013
CALL TOPIC 1: SOCIETAL TRANSFORMATION IN THE FACE OF CLIMATE CHANGE
NATIONAL CONTACT POINTS (NCP)

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General questions about the call and call procedures may also be directed to the Topic Programme Office:

Johann Müller
The French National Research Agency
212 rue de Bercy, FR-75012 Paris
Tel: +33 1 78 09 81 24
E-mail: johann.muller@agencerecherche.fr

Technical Support (Application Portal):

Tel: +47 905 51 520
E-mail: support@nordforsk.org

JPI-Climate Transnational Collaborative Research Projects

National Annex Austria

Partners: This call is supported by the Austrian Federal Ministry of Science and Research (BMWF)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating BMWF for this call will be up to 0.4 Million Euro for Topic 1 "Societal Transformation in the face of Climate Change"

Details of the call and the application process are provided via www.jpi-climate.eu.

All applicants have to comply with the rules as described in the JPI Climate 2013 Call Text. In addition for Austria, the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

BMWF is only funding projects within topic 1 "Societal Transformation in the face of Climate Change".

The general BMWF funding rules "Nationale Förderungsbedingungen zur transnationalen Ausschreibung": can be found on <http://www.era.gv.at/space/11442/directory/19932.html>

IN ADDITION to these general funding rules the following conditions apply:

Transdisciplinarity / Stakeholder Involvement

Reconfirming the importance of co-designing and joint implementation of the research proposals and projects with stakeholders* (see call text)
projects receiving funding by the Austrian Federal Ministry of Science and Research (BMWF)

a) are eligible

- to include stakeholder organizations as partner in the project consortium;
- to account for resulting personnel costs in these organizations - in case of project partners from the private sector an in-kind contribution to these costs of min. 50% is required;
- to account for other costs of stakeholder involvement in the research process (e.g. consultation and knowledge dissemination activities).

b) are called

- to attract in-kind contributions of participating stakeholder partners to the project;
- to clarify and document the commitment, specific responsibilities and active contributions of participating stakeholders in designing, implementing and valorizing the proposed research project and
- to exemplify the two aforementioned issues in the full proposal to the project.

* The term 'stakeholder' refers to actors outside the scientific community in terms of change agents and knowledge partners such as policy makers, regulators, NGOs, municipalities / local authorities or business and industry.

Open Access to Knowledge and Data

Reconfirming the importance of an open provision and sharing of knowledge and data gained in publicly funded research projects (see call text), projects receiving funding by the Austrian Federal Ministry of Science and Research (BMWF)

a) are eligible

- to account for costs arising from publication in open access journals;
- to account for costs of user-oriented knowledge dissemination activities and
- to guarantee authorship and creatorship of obtained research results and data in terms of a 'creative commons' licensing.

b) are called

- to publish key results in open access media (journals / platforms**) and to ensure permanent and free access of obtained qualitative and quantitative data and meta-data;
- to make use of timely and user-oriented means of dissemination to reach out to stakeholders outside the scientific community and
- to include open access publications and dissemination strategy in their project plan (full proposal).

** please consult e.g. the Directory of Open Access Journals (<http://www.doaj.org/>).

Climate-friendly Climate Research

Reconfirming the importance of considering and reducing the carbon footprint of research and research management (see call text), projects receiving funding by the Austrian Federal Ministry of Science and Research (BWF)

a) are eligible

- to account for the use of virtual meeting infrastructure on a pro-rata basis (e.g. software licenses) that verifiably allows for substituting physical meetings;
- to account for (night) train tickets, including single sleeping compartments
- to account for the costs of carbon compensation in case air travel or another major carbon emissions source could verifiably not be avoided in a reasonable way by the use of existing (international) train connections or other climate-friendly alternatives.

b) are called

- in terms of international travel to make use of existing (night) train connections that provide a reasonable accessibility to the meeting venue (accessibility level 1 and 2***)
- in terms of organizing green / climate-friendly project meetings to select venue and starting / closing times in a way that that keep distances travelled to a minimum and are easily reachable via climate-friendly modes of transport (e.g. trains) by the highest number of participants and
- to give priority to low carbon offers of (organic) catering and accommodation

*** see JPI CLIMATE 'Climate-friendly Climate Research' checklist on the JPI CLIMATE website: <http://www.jpi-climate.eu/joint-actions/calls/2013callclimatefriendlyclimateresearch>

National Contact Point:

Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Austrian enquiries please see address below:

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JPI-Climate Transnational Collaborative Research Projects

National Annex: **BELGIUM / BELSPO**

Partners: This call is supported by [Belgian Science Policy Office](#)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating [funding agency](#) for this call will be:

Topic 1: Social transformation in the face of Climate Change Topic: up to **0.3 MEUR** (according to exchange rates at time of funding).

Topic 2: Russian Arctic & Boreal Systems Topic: up to **0.3 MEUR** (according to exchange rates at time of funding).

The official national call announcement for BELGIUM has been published on www.belspo.be. Details of the call and the application process are provided via the *JPI Climate* website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For [Belgium/BELSPO](#) enquiries please see below.

Eligibility and funding modalities for BELSPO:

- The call is intended for the whole Belgian research community: universities, university colleges, public scientific institutions and non-profit research centres. In order to be eligible for funding, non-profit research centres should have as (main) objective scientific research and / or scientific services, and have this registered in their statutes..
- The Federal Science Policy Office has a budget of approximately € 600,000 Euro to finance Belgian research teams in this call, for both topics. The distribution of funds over the 2 topics is preliminary. It will depend upon the evaluation. The project budget is reserved exclusively for the project activities
- The different categories of expenditure financed by BELSPO are
 - Staff costs: Pre-tax wages associated with increases in the cost of living, employers' social security and statutory insurance contributions, as well as any other compensation or allowance due by law and secondary to the salary itself and tax-free scholarships. Tax-free scholarships refer to a grant subject to a tax exemption under the tax laws. BELSPO prefers staff to be hired under a labour contract
 - General operating costs: this includes all current expenditures related to the project's implementation such as usual supplies and products for the laboratory, workshop and office, documentation, travel and accommodation, use of IT facilities, software, etc. The total amount of these operational costs is set at a flat rate of 15% maximum of the staff budget for the coordinator and 10% maximum of the staff budget for the other partners.
 - Specific operating costs (invoices will be required): this includes all specific operating costs directly linked to the execution of the project such as costs for

analysis, organisation of workshops, maintenance and repair of specific equipment purchased by the project, surveys, etc.

- Equipment: purchase and installation of scientific and technical apparatus and instruments, including computer hardware. Equipment needs to be purchased in the first half of the project.
- Overheads: institutions' general overheads that cover, in one lump sum, administration, telephone, postal, maintenance, heating, lighting, electricity, rent, machine depreciation, and insurance costs. The total amount of this item may not exceed 5% of the total staff and operating costs.
- Subcontracting: Expenses incurred by a third party to carry out tasks or provide services that require special scientific or technical competences outside the institution's normal area of activity. The amount may not exceed 25% of the total budget allocated to the Belgian partner concerned.
- It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and BELSPO will fund the Belgian partner of any successful trans-national collaboration.
- Evaluation: in case of equal scientific quality, BELSPO will give priority to a federal research institute.
- The research teams (in this case particularly for Topic 1) that are granted within BRAIN-be are requested to participate in an international JPI consortium with the funding received by BRAIN-be. If selected after evaluation in this call, BELSPO can provide a topping up of maximum 50 000 Euro for international integration of the BRAIN-be research.
- Since also FWO will fund successful research projects, the Belgian (Flemish) research team has to indicate by which funding agency it prefers to be funded: FWO or BELSPO.

National Contact Point:
Martine Vanderstraeten
BELSPO
Louizalaan 231 Ave. Louise
1050 Brussels
+32 2 23 83 610
vdst@belspo.be

JPI-Climate Transnational Collaborative Research Projects

National Annex: Belgium (Flanders)

Partners: This call is supported by Research Foundation – Flanders (FWO).

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked [collectively] by the participating [Funding Agency for this call will be:

Social transformation in the face of Climate Change Topic: up to 400.000 €, being a budget of 200.000 € per projects and for 2 projects in total; 100.000 € top-up money in total, of which can be distributed to existing FWO-projects a budget up to 5000 €/project/year. (according to exchange rates at time of funding).

Russian Arctic & Boreal Systems Topic: up to 400.000 €, being a budget of 200.000 € per projects and for 2 projects in total; 100.000 € top-up money in total, of which can be distributed to existing FWO-projects a budget up to 5000 €/project/year. (according to exchange rates at time of funding).

The official national call announcement for Belgium (Flanders) has been published on the *FWO* website. Details of the call and the application process are provided via the <http://www.fwo.be/Nieuws-Europese-Oproepen.aspx> website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Belgian (Flemish) enquiries please see below.

Eligibility and national funding modalities: Regulation of Research Projects FWO, art. 9: <http://www.fwo.be/Documentatie.aspx?ID=399b8594-9710-4771-9289-426ff73731e1&L=nl> .

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and FWO will fund the Belgian (Flemish) partner of any successful trans-national collaboration.

Belgian Researchers should indicate for which funding agency they are applying for (BELSPO or FWO).

National Contact Point:

**Olivier Boehme
Geertrui Poelaert
Wim Schreurs**
Address
FWO
Egmontstraat 5
1000 Brussels
Telephone number
O. Boehme: +32 2 550 15 45
G. Poelaert: +32 2 550 15 55
W. Schreurs: +32 2 550 15 76
Email address
O. Boehme: olivier.boehme@fwo.be
G. Poelaert: geertrui.poelaert@fwo.be
W. Schreurs: wim.schreurs@fwo.be

JPI-Climate Transnational Collaborative Research Projects

National Annex: Denmark

Partners: This call is supported by Danish Council for Strategic Research.

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the Danish Council for Strategic Research for this call will be: 500.000 euro

Available funding for both **Social transformation in the face of Climate Change Topic** and **Russian Arctic & Boreal Systems Topic** is a total of up to 0,5 million euro.

The official national call announcement for Denmark has been published on the www.fivu.dk website. Details of the call and the application process are provided via the *JPI Climate* website.

Danish applicants have to fill in the additional form “DSCR national budget” (excel) available at application portal. The form can also be acquired by contacting the Danish National contact Point.

Eligibility and national funding modalities: The funding rules of The Danish Council for Strategic Research apply. Please see www.fivu.dk/en/jpi-funds, where you will find the document “[Guidelines – The Danish Council for Strategic Research’s rules for budgeting, grants etc. relating to transnational calls](#)” in the left column under “Forms and guidelines”. You are also welcome to contact Charlotte Demuth Pedersen for further information.

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and the Danish Council for Strategic Research will fund the Danish partner of any successful trans-national collaboration.

National Contact Point: Charlotte Demuth Pedersen
Secretariat of the Danish Council for Strategic Research
Danish Agency for Science, Technology and Innovation
Bredgade 40
1260 København K
+45 72318406
chdp@fi.dk

For further questions:
Special advisor on international cooperation Lene Cividanes
Secretariat of the Danish Council for Strategic Research
Danish Agency for Science, Technology and Innovation
Bredgade 40, 1260 København K
+45 7231 8402
lecd@fi.dk

JPI-Climate Transnational Collaborative Research Projects

National Annex: AKA

Partners: This call is supported by **the Academy of Finland (AKA)**.

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by AKA for this call will be: 0.5 million euro

Social transformation in the face of Climate Change Topic: up to 0.5 million euro.

Russian Arctic & Boreal Systems Topic: up to 0.5 million euro.

The JPI Climate Call has been announced in the Academy of Finland's September 2013 Call for Applications (26.8.2013) as an Advance Information on International Calls. Information is found in the Academy's *website*: www.aka.fi. Details of the call and the application process are provided via the *JPI Climate* website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For enquiries from Finnish project participants please see below.

Eligibility and national funding modalities:

Finnish participants in the JPI Climate Call must fulfil the eligibility criteria of and follow the General Guidelines of the Academy of Finland's September 2013 Call for Applications (26.8.2013):

http://www.aka.fi/Tiedostot/Hakuilmoitukset/Hakuilmoitus_Syyskuu_2013_en.pdf

It is expected that applications to this call will be Collaborative Research projects with the consortium composition as defined in the call document, and AKA will fund the Finnish partners of any successful trans-national collaboration.

National Contact Point:

Tuula Aarnio

Academy of Finland

Telephone number: +358 29 533 5146

Email address: Tuula.aarnio@aka.fi

JPI-Climate Transnational Collaborative Research Projects

National Annex: FRANCE

Partners: This call is supported by ANR

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating ANR for this call will be:

Social transformation in the face of Climate Change Topic: up to 1 million € (according to exchange rates at time of funding).

The official national call announcement for France has been published on the website <http://www.agence-nationale-recherche.fr/financer-votre-projet/appel-detail/appel-a-projets-de-recherche-collaboratifs-transnationaux-2013-de-la-jpi-climate-2014/> Details of the call and the application process are provided via the website <http://www.jpi-climate.eu/home>. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For France enquiries please see below.

Eligibility and national funding modalities:

The project proposal will be declared eligible if the consortium includes at least one French partner belonging to the “research organisation” category.

Moreover, the project proposal will be declared ineligible if the ANR establishes that it is:

- similarⁱⁱ to a project that has already been funded by ANR or that is being evaluated in the context of a call for proposals of the framework programme described in the ANR Work Programme 2014 (<http://www.agence-nationale-recherche.fr/PA2014>)
- non-uniqueⁱⁱⁱ.

For the Russian collaboration, project partners should ensure the availability of the necessary facilities and infrastructure and provide a detailed letter of support of up to 2 sides of A4. It is the responsibility of named Project Partners to read and comply with the terms and conditions relating to proposals for research grants.

National eligibility and specific requirements for French scientists to participate in the call are detailed on the ANR website:

<http://www.agence-nationale-recherche.fr/financer-votre-projet/appel-detail/appel-a-projets-de-recherche-collaboratifs-transnationaux-2013-de-la-jpi-climate-2014/>.

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document.

National Contact Point:

Johann Muller

212 rue de Bercy

75012 Paris, France

Phone : +33 1 78 09 81 24

Email: johann.muller@agencerecherche.fr

ⁱ See definition in the ANR Funding Regulations (<http://www.agence-nationale-recherche.fr/RF>).

ⁱⁱ Similarity is established when two full proposals (in their entirety or in part) describe the same main objectives, or are a mere adaptation, AND involve mostly the same teams.

ⁱⁱⁱ Non-uniqueness is established when the full proposal borrows or copies, in whole or in part, earlier writings whose sources have not been quoted.

JPI-Climate Transnational Collaborative Research Projects

National Annex: EPA, Ireland

Partners: This call is supported by the **Environmental Protection Agency**.

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the EPA for this call will be: 0.26 million euro for **Topic 1; Social transformation in the face of Climate Change Topic**.

The JPI Climate Call will be announced on the EPA website (30.09.2013) www.epa.ie . Details of the call and the application process are provided via the *JPI Climate* website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For enquiries from Irish project participants please see below.

Eligibility and national funding modalities:

The EPA will fund the Irish partner(s) of successful applications up to the designated budget subject to eligibility criteria. Irish participants in the JPI Climate Call must fulfil the eligibility criteria of and follow the General Guidelines of the EPA's STRIVE research programme:

- [EPA Research Guide for Applicants 2013](#)¹
- [EPA Research Guide for Grantees 2013](#)²
- [EPA Terms and Conditions for support of grant awards 2013](#)³

Eligible institutions include third-level institutions, environmental NGO, public bodies, and private organisations (SMEs and non-SMEs). Eligible costs are detailed in Section D of the [EPA Research Guide for Applicants 2013](#). It is expected that applications to this call will be Collaborative Research projects with the consortium composition as defined in the JPI Climate call document.

All successful Irish applicants will need to submit their application through the online [Smartsimple](#) system for the further processing of an award and for ongoing grant management. Please see the EPA Research Guide for Applicants 2013. Successful applicants will be notified when this submission is required.

National Contact Point:
Gemma O'Reilly
Environmental Protection Agency
Telephone number: +353 1 2680151
Email address: g.oreilly@epa.ie

¹ <http://www.epa.ie/pubs/reports/research/termsandconditions/2013guideapplicants.html>

² <http://www.epa.ie/pubs/reports/research/termsandconditions/2013guidegrantees.html>

³ <http://www.epa.ie/pubs/reports/research/termsandconditions/2013termsconditions.html>

**JPI-Climate
Transnational Collaborative Research Projects**

National Annex Germany

Partners: This call is supported by the Federal Ministry of Education and Research (BMBF)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating funding agency BMBF for this call will be up to 1 Million Euro for **Topic 1 “Societal Transformation in the face of Climate Change”**

Details of the call and the application process are provided via www.jpi-climate.eu

All applicants have to comply with the rules as described in the JPI Climate 2013 Call Text. In addition for Germany, the following eligibility and national funding modalities apply:

Eligibility and national funding modalities:

BMBF is only funding projects within topic 1 “Societal Transformation in the face of Climate Change”.

In the full-proposal stage, all applicants have to submit their proposal via the Nordforsk Application Portal <https://funding.nordforsk.org/nordforsk>. At this stage, no Germany-specific application procedure is required from German applicants.

Once the funding decision is taken (most likely within June 2014), the projects selected for funding will then need to submit their German-specific application (through easy-Online – “Elektronisches Antrags- und Angebotssystem”) for further grant processing and grant management:

https://foerderportal.bund.de/easy/easy_index.php?auswahl=easy

Nonetheless, regarding the project budget, the funding rules of the BMBF apply for German applicants. Hence, budget details in the full proposals submitted via Nordforsk Application Portal shall include only costs eligible as defined in German-specific application forms (Anträge auf Ausgabenbasis AZA / Anträge auf Kostenbasis AZK): https://foerderportal.bund.de/easy/easy_index.php?auswahl=easy_formulare&formularschrank=bmbf

On behalf of the BMBF the Project Management Agency PT DLR is responsible for the technical and administrative implementation of this Call:

National Contact Point:
Michaela Thorn
Project Management Agency,
part of the German Aerospace Center (PT-DLR)
Heinrich-Konen Str. 1
53227 Bonn
0228-3821-1538
michaela.thorn@dlr.de

JPI-Climate Transnational Collaborative Research Projects

National Annex The Netherlands

Dutch eligibility requirements - NWO

- Note that Dutch applicants do not need to send a separate application to NWO.
- For Dutch scientists the NWO eligibility criteria are applied, i.e. the Dutch Principal Investigator should be affiliated to either:
 - a Dutch university;
 - an institute affiliated to NWO;
 - an institute affiliated to KNAW.

AND

Have an employment contract for at least the duration of the evaluation procedure and the duration of the research grant which is applied for;

AND

Have at least a PhD or an equivalent qualification.

- For the Dutch part of the project (hereafter: the Dutch project), the proposed research must fall within the remit of NWO's Division of Social Sciences (MaGW). MaGW has four main groups of disciplines: Economics and business administration, Behaviour and education, Law and administration, Social sciences. These main groups comprise more than 20 scientific disciplines.
- Proposals may be for projects with a maximum duration of two to four years. Applicants for the Dutch project can apply for either:
 - a PhD position (three or four years, full-time);
 - a postdoc position (two to four years, full-time or with a minimum of 0.6 fte).
- The total budget requested for the Dutch project may not be higher than € 250,000 (incl. bench fee). Up to € 210,000 can cover personnel costs, see <http://www.nwo.nl/financiering/hoewerkt-dat/Salaristabellen> for the appropriate salary scales. The minimum limit is € 77,000 for personnel costs (i.e. the costs of a postdoc for 0.6 fte for a two-year project). In addition to these personnel costs, research costs up to the sum of € 50,000 can be applied for.
- A budget of up to € 0.7M€ is available for the call on the Dutch side. This budget covers funding for up to three Dutch projects.
- A proposal can only include one Dutch project.
- Note proposals submitted to this call that are not significantly driven by a social science question will be considered ineligible.

National Contact Point:

Wieske Bressers

NWO - Netherlands Organisation for Scientific Research
Social Sciences
Policy officer
PO Box 93120, NL-2509 AC The Hague
Telephone: +31 70 344 09 79
E-mail: w.bressers@nwo.nl
www.nwo.nl

JPI-Climate Transnational Collaborative Research Projects

National Annex: NORWAY

Partners: This call is supported by the Research Council of Norway (RCN)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the RCN for this call will be:

Social transformation in the face of Climate Change Topic: up to €1 million.

Russian Arctic & Boreal Systems Topic: up to €0.5 million (according to exchange rates at 26 August 2013).

The national call announcement for Norway has been published on the [RCN website](#). Details of the call and the application process are provided via the [JPI Climate website](#). Applicants are advised to contact their National Contact Point (see below) before starting to prepare proposals for application.

Eligibility and national funding modalities: Under the call, the RCN will accept applications for [Researcher Project](#). It means applicants need to be a Norwegian research institution (university, university college, research institute or other institution at which research constitutes an important activity), or Nordic research-performing institutions funded by the Nordic Council of Ministers, or Nordic research institutions that receive public funding from at least three Nordic countries, including Norway.

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document, and the RCN will fund the Norwegian partner(s) of any successful trans-national collaboration.

Open access and knowledge dissemination

The RCN encourages open access publication. Projects receiving funding from the RCN under the present call

a) are eligible

- to account for costs arising from publication in open access journals;
- to account for costs of user-oriented knowledge dissemination activities and
- to guarantee authorship and creatorship of obtained research results and data in terms of a 'creative commons' licensing.

b) are called

- to publish key results in open access media (journals/platforms¹) and to ensure permanent and free access of obtained qualitative and quantitative data and meta-data;
- to make use of timely and user-oriented means of dissemination to reach out to stakeholders outside the scientific community and
- to include open access publications and dissemination strategy in their project plan (full proposal).

Climate-friendly climate research

Projects receiving funding from the RCN under the present call

a) are eligible to account for virtual meeting infrastructure (e.g. software licenses) that verifiably allows for substituting physical meetings;

b) are called, for any project meetings,
- to allow for virtual participation by video

¹ see e.g. Directory of Open Access Journals (<http://www.doaj.org/>)

- to select venue and starting/closing times in a way that keep distances travelled to a minimum and are easily reachable via climate-friendly modes of transport by the highest number of participants; and
- to give priority to climate-friendly catering and accommodation

National Contact Points:

Social transformation in the face of Climate Change Topic:

Eivind Hoff-Elimari

The Research Council of Norway

Postboks 2700 St. Hanshaugen

N-0131 Oslo

+47 95 42 95 17

eho@rcn.no

Russian Arctic & Boreal Systems Topic:

Torill Engen Skaugen

The Research Council of Norway

Postboks 2700 St. Hanshaugen

N-0131 Oslo

+4722037000

tens@rcn.no

JPI-Climate Transnational Collaborative Research Projects

National Annex: SLOVENIA

Partners: This call is supported by MIZS – Ministry of Education, Science and Sport (Ministrstvo za izobraževanje, znanost in šport), Science Directorate.

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by the participating MIZS for this call will be up to 200.000,00 EUR. MIZS is only funding projects within topic 1 “Societal Transformation in the face of Climate Change” up to 100.000,00 EUR per project.

Details of the Call and the application process are provided via the <http://www.jpi.climate.eu> (Call Topic 1 website). Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For Slovenian enquiries please see below.

Eligibility and national funding modalities:

The official national call announcement for the Slovenia has been published on the http://www.uradni-list.si/_pdf/2013/Ra/r2013100.pdf#!/r2013100-pdf.

National eligibility requirements that apply are published at the MIZS's website http://www.mizs.gov.si/si/javne_objave_in_razpisi/okroznice/arhiv_okroznic/okroznice_razpisi_in_javna_narocila/javni_razpisi/?tx_t3javnirazpis_pi1%5Bshow_single%5D=1317.

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the Call document, and MIZS will fund the Slovenian partner of any successful transnational collaboration.

National Contact Point:

Mr. Luka Živić

MIZS, Science Directorate

Masarykova 16, 1000 Ljubljana

T: + 386 1 478 47 56

Email: luka.zivic@gov.si

JPI-Climate Transnational Collaborative Research Projects

National Annex: Formas, Sweden

Partners: This call is supported by **The Swedish Research Council for Environment, Agricultural Sciences and Spatial Planning (Formas)**.

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by Formas for this call will be: 20 million SEK, approx. 2.2 million euro

Social transformation in the face of Climate Change Topic: up to 2.2 million euro (according to exchange rates at time of funding).

Russian Arctic & Boreal Systems Topic: up to [insert relevant figure or equivalent] (according to exchange rates at time of funding).

The official national call announcement for Formas/ Sweden has been published on Formas *website*: www.formas.se. Details of the call and the application process are provided via the *JPI Climate* website. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. For enquiries from Swedish project participants please see below.

Eligibility and national funding modalities:

Swedish participants in the Societal Transformation Topic must fulfil the eligibility criteria and follow the guidelines described for “Grants for Research and Development Projects” in Formas Handbook 2013, Chapter 5.3:

<http://www.formas.se/PageFiles/204/Formas%20Handbook%202013%20revised%203.pdf>

Funds will only be granted to Swedish Universities, University Colleges, Research Institutes and Authorities with research undertakings.

It is expected that applications to this call will be Collaborative Research projects with the consortium composition as defined in the call document, and Formas will fund the Swedish partners of any successful trans-national collaboration.

National Contact Point: (Societal Transformation Topic):

Linda Bergqvist Ampel
The Swedish Research Council Formas
Telephone number: +46 8 775 4062, +46 73 600 13 22
Email address: linda.ampel@formas.se

Topic 1: Societal Transformations in the face of Climate Change

JPI Climate Full Proposals (Shortlisted Applicants Only)

National Annex: United Kingdom

Partners: This call is supported by the Economic and Social Research Council (ESRC)

Notice: Depending on all conditions of eligibility and peer review being met, the budget earmarked by ESRC for Topic 1 **Societal transformations in the face of Climate Change** will be up to £1,000,000 (at 80% FEC). It is envisaged that the ESRC will collaboratively fund between 3 and 4 research consortia. Applicants are therefore advised to consider and justify any request over £250,000. Standard ESRC research funding terms and conditions apply <http://www.esrc.ac.uk/RFG>

It is expected that applications to this call will be Collaborative Research Grants with the consortium composition as defined in the call document. ESRC will fund the United Kingdom partner of any successful trans-national collaboration.

The official national call announcement for the United Kingdom will be published on the ESRC website <http://www.esrc.ac.uk/funding-and-guidance/funding-opportunities/28436.aspx>. Applicants are advised to contact their National Contact Point before starting to prepare proposals for application. Please read this annexe and the ESRC additional requirements below carefully.

National Contact Point:

Name: Tom Roberts
Address: ESRC, Polaris House North Star Avenue, Swindon SN2 1UJ
Telephone number: +44 (0) 1793 442821
Email address: JPIClimate@esrc.ac.uk

Eligibility and national funding modalities ESRC Topic 1 - Societal transformations in the face of Climate Change

The ESRC is the UK's leading research and training funding agency addressing economic and social concerns. The ESRC will fund the UK partner(s) of successful applications that fall within its remit. A full list of acceptable ESRC research areas is available at: <http://www.esrc.ac.uk/funding-and-guidance/guidance/applicants/proposal-classifications-ESRC-disciplines.aspx>

All UK applicants (whether Principal or Co-Investigators) requesting funding from the ESRC must be associated with an eligible Research Organisation. Applicants are encouraged to consult the ESRC Research Funding Guide for eligibility information: <http://www.esrc.ac.uk/RFG>

The ESRC will fund and support high quality basic, strategic and applied research in the social sciences which meets the needs of users and beneficiaries. Our mission places emphasis on ensuring researchers engage fully with the users of research outcomes. These may be other academics, government departments, public bodies, business, voluntary organisations or other interested partners.

Applicants requesting ESRC funds may therefore also include costs associated with knowledge exchange, co-production and collaboration between researchers and the private, public and civil society sectors. This may include costs for activities such as: academic placements with a civil society, business or public organisation; policy or evidence seminars; the development of tools that emerge from research that can maximise the use of research outputs in practitioner communities; and collaborative research, where researchers are engaging directly with users in shaping the research agenda in apply social science to current issues relating to policy, strategy or practice.

In such cases, the ESRC expects to see research and knowledge exchange activities developed in collaboration with non-academic stakeholders, and welcomes co-funding (case or in-kind) as a demonstration of support for such activities. When requesting expenditure for involvement including non-academic co-investigators, please refer to ESRC's guidance <http://www.esrc.ac.uk/funding-and-guidance/applicants/uk-co-investigators.aspx> and: <http://www.esrc.ac.uk/funding-and-guidance/applicants/costs-incurred.aspx>

Please note UK applicants are not required to submit the full proposal via JeS at this interim stage. However, all successful UK applicants will be invited to submit an application via JeS as a condition of access to UK ESRC funding. Therefore all UK applicants and Co-investigators will need to be Je-S registered.

The Full Proposal must be submitted by the Leading Principal Investigator (PI) (or the Leading PI's Institute, electronically through the NordForsk application Portal <https://funding.nordforsk.org/nordforsk> **no later than 28 March 2014 12:00 (noon) CET.** (i.e. 11.00 BST)

Further information and guidance on the call and application process is available on the [JPI Climate website](#).

ESRC Additional Requirements (attachments):

Shortlisted European Research Consortia with UK applicants requesting funding from the ESRC are required to complete and submit a number of additional documents to meet specific ESRC funding requirement, guidance is enclosed below.

- **Related and previous RCUK social science proposals (max 1 page)**

One of the purposes of Joint Programming Initiatives is to facilitate the alignment of national research programmes at the European level. A fundamental eligibility criterion for ESRC therefore is for applicants to clearly demonstrate how they intend to *build upon* their current or previously funded Research Council UK (RCUK) social science research work in this area from the last five years. Please fully articulate how your application under the JPI Climate builds upon your previously funded RCUK research proposals. Please provide the reference numbers, research titles and any financial support sought or received from RCUK in the past five years. Previously funded RCUK project details can be found on the Gateway to Research <http://gtr.rcuk.ac.uk/>

- **Ethical Information (max 1 page)**

All UK applicants requesting funding from the Economic and Social Research Council (ESRC) are required to consider potential ethical issues.

Applicants must ensure the proposed research will be carried out to a high ethical standard and must clearly state how any potential ethical and health and safety issues have been considered and will be addressed, ensuring that all necessary ethical approval is in place before the project commences and all risks are minimised.

The ESRC's Framework for Research Ethics contains a full explanation of our approach, with guidance for applicants <http://www.esrc.ac.uk/researchethics>

Applicants must explain what, if any, ethical issues are relevant to the proposed research project, and which ethical approvals have been obtained, or will be sought if the project is funded. If applicants believe that an ethics review is not necessary, applicants are required to explain why.

- **Data Management Plan (max 2 pages)**

It is a requirement of the ESRC Research Data Policy <http://www.esrc.ac.uk/datapolicy> that all applicants planning to generate data as part of their grant must include a Data Management Plan. Any data generated must be made available for re-use or archiving with the UK data service providers within three months of the end of the grant. The Data Management Plan should be used

as an opportunity to describe how the data, i.e. primary input into research and first-order results of that research, are going to be managed - starting from the planning for research stage and throughout the life-cycle of the grant until data is accepted for archiving by the UK Data Service. The ESRC recognises the importance of research data quality and provenance. Research data generated by ESRC-funded research must be well-managed by the grant holder during the grant period to enable their data to be exploited to the maximum potential for further research. If there is an issue with uploading data to the UK data service, please contact the UK Data Service <http://ukdataservice.ac.uk/about-us/contact.aspx>

- **UK ESRC Expenditure**

Applicants from the UK are requested to complete the UK ESRC Expenditure excel spreadsheet available from the ESRC website. UK applicants are requested to provide a detailed breakdown of costings with clear justification. Costings within the ESRC expenditure spreadsheet must be provided in £ sterling.

Please also refer to the budget guidance notes in the Instructions for Full proposals 2014 from the JPI Climate website: <http://www.jpi-climate.eu/joint-actions/calltransnationalcollaborativeresearchprojects>

Annex 4

JPI Climate Joint Call 2013 BUDGET

The table below shows which funding organisations are participating in the call and the estimated available funding per country:

Call Topic 1: Societal Transformation in the Face of Climate Change			Call Topic 2: Russian Arctic & Boreal Systems		
Country / Funding Organisation	Provisional Budget (M€)		Country / Funding Organisation	Provisional Budget (M€)	
	Direct Funds	In Kind		Direct Funds	In Kind
Austria (BMWf)	0.4		Belgium (BELSPO)	0.4	
Belgium (BELSPO)	0.15 (**)		Belgium (FWO)	0.4	0.1 ¹
Belgium (FWO)	0.4	0.1 ²	Denmark (DCSR)	0.25*	
Denmark (DCSR)	0.25*		Finland (AKA)	0.25*	
Finland (AKA)	0.25*		Norway (RCN)	0.5	1.5 ³
France (ANR)	1		Sweden (VR)	0.46	
Germany (BMBF)	1		UK (NERC)	0.8	
Ireland (EPA)	0.26				
Netherlands (NWO)	0.7				
Norway (RCN)	1	17 ⁴			
Slovenia (MIZS)	0.2				
Sweden (Formas)	2				
UK (ESRC)	1.17		BUDGET TOTAL	3.06	
BUDGET TOTAL	8.58				

* Budget distribution across the 2 topics is tentative. Final distribution will depend on the number and quality of proposals received and the results of the assessment process.

** 50 000 EUR for topping up the BRAIN-BE funded project (in kind contribution), if finally selected

¹ FWO intends to integrate existing FWO projects into the consortia of the JPI Climate call through this top-up funding.

² FWO intends to integrate existing FWO projects into the consortia of the JPI Climate call through this top-up funding.

³ See

<http://www.forskningsradet.no/en/Funding/NORRUSS/1253987298461/p1184150364108?progId=1253973419025&visAktive=true>

⁴ See

<http://www.forskningsradet.no/en/Funding/KLIMAFORSK/1253982678608/p1184150364108?visAktive=true>

European JPI Climate Joint Call for Transnational Collaborative Research Projects

Instructions for Full proposals 2014

The Full Proposal must be submitted by the Leading Principal Investigator (PI) (or the Leading PI's Institute, where appropriate, in accordance with requirements in the National Annexes) to JPI electronically through **the NordForsk Application Portal <https://funding.nordforsk.org/nordforsk> no later than 28 March 2014 12:00 (noon) CET.**

The application form is based on the eligibility criteria and evaluation criteria stated in the call and can be found in its complete form at the Application Portal.

In order to access the application form you must register as a user at the Application Portal and create an application draft.

Leading PIs are requested to write the proposals directly into the system.

A copy of the Application Form can be printed from the Application Portal if needed in the process of completing the proposal and used to share the proposal among your consortium members.

Note: Both Leading and Partner PIs should make sure to check the National Annexes for their country and contact the National Contact Point if required. There may be additional national application requirements that need to be taken into account when writing and submitting the Full proposal.

General guidance for all applicants:

- The proposal must be submitted in English.
- The different sections of the application cannot exceed the prescribed maximum number of characters. The character limits include free spaces.
- The uploadable parts of the application cannot exceed the prescribed page limits. Uploadable parts may include non-text descriptions such as graphic chart, diagrams, figures, etc.
- No attachments are allowed, apart from those specifically requested in the application form. Any documents other than those requested as part of the proposal will not be considered in the evaluation

Project Title

Give a project title, which clearly describes the research content of your project proposal. The title should be the same as for the pre-proposal.

Project Duration

Indicate the duration of the project from anticipated start date to anticipated completion date. The starting date of the project should be no earlier than 01.09.2014 and no later than 31.12.2014. The project should last between two and four years.

Project acronym

Please provide an acronym, or a shorter title for the project. The acronym should be the same as for the pre-proposal.

Project Summary (max 2000 characters, including spaces; suitable for public release)

Provide a summary describing the proposed research project and expected impact in plain language suitable for a general audience.

The project summary should be the same as the summary provided in your Pre-proposal (although minor amendments are acceptable, for example taking into account recommendations from the pre-proposal review process).

Project summaries will be published if the proposal is selected for funding.

Key words

Give at least three and up to ten key words that represent the scientific content of your proposal. These will be used to assist in identifying reviewers for your proposal.

Executive summary (maximum 3500 characters, including spaces)

Give an overarching summary of the goals of the research project, how it fits with the scope of the call, with particular reference to the scientific quality of the consortium and of the proposed research, and the innovativeness of the approach. Describe the added value to be expected from the international collaboration with reference to the specific trans-disciplinarity, competence and expertise of the team and the complementarities of the consortium. Explain how users have been engaged in the proposal and the potential impact of the research on science, society, policy, economy, etc.

Project description (Maximum 8 pages in uploaded PDF, including references)

Describe the research plan of your consortium, including the goals and objectives, in no more than 8 pages, including references. Please ensure that the document is readable (e.g., verdana 10 p, normal margins). Non-text descriptions such as graphic chart, diagrams, figures, etc. may be included. The project description should follow the structure outlined below:

Background

Give the scientific basis for your proposal and describe the present state-of-the-art. Identify important gaps to be filled in the current knowledge. Include reference to the significance of preliminary studies, describing how the proposed project is embedded within the research

currently funded in the consortium institutes, how it adds value to this broader programme and how it fits with the scope of this call.

Research plan

Give an overall description and the general approach and methodology chosen to achieve the objectives. Highlight the particular advantages of the methodology chosen; quantify the expected project result(s).

Break down the research project into individual tasks, showing the interrelationship between the tasks. Explain why there is synergy between different tasks of the project and how this is going to be exploited.

Added-value – In instances where the proposed work builds on previous activities, describe how this collaborative proposal will complement or build on previous activities as well as the incremental value of the proposed work.

Interdisciplinarity, transdisciplinarity and complementarity of the team (added value of the consortium)

Describe clearly the contribution and role of each partner to your project. It is expected that unless the participation is at the level of sub-contracting for specific tasks, individual applicants will be true research partners in the consortia and will contribute significantly to the development of the research project. Evaluators will be asked to comment on and rate the value added by the involvement of all partners in order to assist the assessment of these projects.

Demonstrate how the project will increase synergy between teams across partner countries and how international collaboration adds a particular value.

Management Plan (Maximum 3 pages in uploaded PDF)

Describe how the overall coordination, monitoring and control of the project will be implemented in no more than 3 pages. Please ensure that the document is readable (e.g., verdana 10 p, normal margins). Non-text descriptions such as graphic chart, diagrams, figures, etc. may be included.

Outline the management processes foreseen in the project (decision boards, coordination meetings, etc.) and clearly indicate the distribution of tasks among the consortium members. It is recommended that milestones be presented in a detailed diagram (e.g. PERT or Gantt charts) providing the time schedule of the tasks and marking their interrelationships; add when decisions on further approaches will have to be made; indicate a critical path marking those events which directly influence the overall time schedule in case of delays. Explain how information flow and communication will be managed and enhanced within the project (e.g. collaboration and task meetings, exchange of scientists, dissemination of results and engagement with stakeholders).

Climate-friendly Climate Research

Outline how the project will take into account the JPI Climate sustainability principle:

Clarify how the consortium does take into account and seeks to minimize its own climate footprint and contribute to a climate-friendly research system, e.g. in terms of (virtual) meetings, travels and energy use (please consult the guidance document on “climate-friendly climate research” on the JPI CLIMATE website).

Risk management: Indicate where there are risks of not achieving the objectives and describe potential solutions, if appropriate.

Scientific and Societal Impact Knowledge Exchange and Dissemination Strategy (Maximum 3 pages in uploaded PDF)

Please provide a Scientific and Societal Impact Knowledge Exchange and Dissemination Strategy, including financial provision for dissemination of research outputs, allowing for open access to research results and produced data among the scientific community as well as making use of other suitable means of dissemination of results to the wider society.

Detail approach to actively engage stakeholders¹ and users in the project in terms of a trans-disciplinary knowledge co-production: who may benefit from or make use of the research, how they might benefit from their engagement and make use of the research, and methods for engaging stakeholders and users and exchanging / disseminating data/knowledge/skills in an effective and appropriate manner.

Describe how information generated in the course of the project will be captured, stored and managed. Also explain any plans for longer-term archiving and for the release of data to the wider scientific and user community. The application will be expected to demonstrate the necessary resourcing to achieve these aims.

Describe how the consortium will deal with the dissemination, publication, and, protection of results generated in the project. Notably: the access rights for academic and/or private research purposes to the research results, the delay before research results to be publicly available.

It is expected that arrangements will be made for timely release of information and resources from publicly funded research projects. These arrangements should be consistent with national funder policies, from which funding is sought, in relation to open access to research publications and the management and deposition of data generated from public funding.

Description may not exceed 3 pages. Please ensure that the document is readable (e.g., verdana 10 p, normal margins). Non-text descriptions such as graphic chart, diagrams, figures, etc. may be included.

Leading Principal Investigator

Provide detailed information on the Leading Principal Investigator, including organisation and contact details.

1 “Stakeholders” refers to actors outside the scientific community e.g. change agents and knowledge partners such as policy makers, regulators, NGOs, municipalities / local authorities or industry

Principal Investigators

Provide detailed information on the Principal Investigators, including organisation and contact details. Please use the template available in the application portal. **Please remember to include the Leading Principal investigator in the overview.**

Please check with your National Annex for eligibility requirements and any limits on the number of official Partner PIs. Note that fully self-financed partners who bring their own secured budget are allowed from any country.

For each PI (including the LPI), please upload a brief summary, max 3 pages per PI.

Include key achievements (max 3000 characters per PI) that are relevant to the research proposed and up to 5 most recent relevant publications. In addition, include details of personnel, represented by the PI, that will work on the project. Such personnel might include those to be sub-contracted or other individuals within the Leading / Partner PIs institution, department, etc.. Please include, name, organization, position, country, as well as a brief description of their role in the consortium and what proportion of their time will be used in the project. **The Leading / Partner PI should check the policies and guidelines of the relevant National Funding Agency.**

Please use the template available in the application portal. Please upload a template per PI, max 3 pages per PI.

Budget

Please upload the detailed budget applied for through this call per PI, including the LPI. Please use the template available in the application portal. Funding information should be entered as Euros.

The budget should be given according to the budget posts described in the uploadable template. The budget should be given for the whole project as well as for each PI (including LPI) and each calendar year.

Please check the following before submitting your proposal:

- Budget numbers are given in Euros (€)
- The amount in the "Total requested funding" field for each PI shows the amount that each Leading / Partner PI is requesting from their funding agency
- Each Leading and Partner PI should follow the rules of their National Funding Agency, as described in the National Annexes, concerning what funding may be applied for.
- The amount in the "Total requested funding" field **for the entire project** should match the number you have entered as total requested funding in the application portal.
- The amount in the total budget field **for the entire project** should match the number you have entered as total budget in the application portal.

- PIs ineligible to request funding from this Call should indicate under 'External funding/ support' the value of the funding (including in-kind contributions) that they are committing to the proposal. **This information should be further explained under section "External Funding Information"**. For the call topic on Russian Arctic & Boreal Systems, Russian PI's **are required to** confirm these contributions (including in kind) to the collaborative project by a Letter of Support.
- Applicants should include within their funding request adequate provision (up to €2000 per partner per meeting) for participating in and convening joint meetings of representatives of all the JPI Climate projects, at the mid-term and completion stages of the project. Such meetings provide an opportunity to exchange views, and share questions, progress and output vis-à-vis the scientific and user community, and should, where possible, be organised in conjunction with major international scientific events.

In addition to the budget table (in euros) of the application form, some funding agencies require budget specifications according to national funding rules. For the appropriate forms and any other questions you should contact or refer to the website of your National Contact Point.

External Funding Information

Please indicate any funding / support you expect to be committed to the proposed project, which is not being requested from this call. This includes, for example other institutional or national funding, the value of contributions from partners who are ineligible to request funding from this Call and are therefore providing their own funding/ in-kind contribution, etc. Please detail what is included in your in kind contributions.

Please indicate if funding / support from these external sources, including in-kind contributions, will be used to augment resources provided through the award. Please also indicate any additional support that is currently being sought, where the funding decision on that support is independent of this call.

Where funding from external sources is expected / being sought, the relationship between these various funds and the proposed project should be explained (e.g., what role do the funds play in achieving the goals and expected outcomes of the proposed project; what value will these funds add to the proposed project?).

Letters of Support

should be included from main external sources of funding (including providers of in-kind contributions and partners who are ineligible for this Call, but who are providing a contribution to this proposed project). Letters of Support do not need to be included where 'external funding' is coming from the Lead or Partner PI's own institution. **Please note, however, that PI's from the Russian Federation participating in the Call Topic Russian Arctic & Boreal Systems have to confirm their commitment and contribution to the project Budget by a Letter of Support.**

Letters of Support should be on headed paper and should provide information on the level of funds committed and how firm that commitment is. Where the contribution is in-kind, a monetary value of that contribution alongside details of what the contribution is should also be provided.

Funding justification

In this section, a summary of the total funding requested from this call together with that from external sources should be included. Applicants should provide clear evidence of how the funds requested will be used to fulfill the activities of each partner and a clear justification that the requested funds together with any funds / support provided by external sources, are sufficient to achieve the work proposed.

Participating countries

Please provide an overview of the number of participants per country divided by gender.

Information for the review

Applicants may name up to three experts that should not be contacted to review their proposal due to e.g., conflicts of interest.

Confirmation of understanding

Before submitting the proposal, please confirm that you have read the Call Text, the relevant National Annexes, and the instructions for Applicants and that you understand the terms and conditions for this call

NOTE that funded proposals will be requested to sign a Consortium Agreement (including Intellectual Property Rights) among the partners of a research consortium. The consortium agreement must be signed and submitted by 6 months after project start. The signatures must be provided in accordance with each country's funding agency procedures. It is the LPI's responsibility to ensure that this agreement clearly specifies:

- the distribution of the tasks, human and financial resources and deliverables
- the sharing of the intellectual property rights linked to findings obtained within the framework of the project
- the project start and end dates
- the conditions of publication / dissemination of the results; and
- the application and transfer of project findings

When preparing the Full Proposal it is useful to remember the Evaluation Criteria on which it will be reviewed. Please see the Call for Proposals for details.

For questions, contact your National Contact Point.

See www.jpi-climate.eu for further information about JPI Climate and this call for proposals.